

## **Integrated Governance**

### **Algoquant Fintech Limited**

#### **General information about company**

Scrip code	505725	
NSE Symbol	ALGOQUANT	
MSEI Symbol	NOTLISTED	
ISIN	INE598D01035	
Date of start of financial year	01-04-2025	
Date of end of financial year	31-03-2026	
Reporting Quarter Type	Quarterly	
Date of Quarter Ending	31-12-2025	
Type of company	Equity	
Whether Annexure I (Part A) of the SEBI Circular dated December 31, 2024 related to Compliance Report on Corporate Governance is applicable to the entity?	true	
Whether Annexure I (Part B) of the SEBI Circular dated December 31, 2024 related to Investor Grievance Redressal Report is Applicable to the entity?	true	
Whether Annexure I (Part C) of the SEBI Circular dated December 31, 2024 related to Disclosure of Acquisition of Shares or Voting Rights in Unlisted Companies is Applicable to the entity?	false	No disclosure for the Quarter ended December 31, 2025.
Whether Annexure I (Part D) of the SEBI Circular dated December 31, 2024 related to Disclosure of Imposition of Fine or Penalty is Applicable to the entity?	true	
Whether Annexure I (Part E) of the SEBI Circular dated December 31, 2024 related to Disclosure of Updates to Ongoing Tax Litigations or Disputes is Applicable to the entity?	false	No disclosure for the Quarter ended December 31, 2025.
Risk management committee	false	
Market Capitalisation as per immediate previous Financial Year	Top 2000 listed entities	
Is SCORE ID Available ?	true	
SCORE Registration ID	H00104	
Reason For No SCORE ID		
Type of Submission	Original	
Remarks (website dissemination)		

## Annexure I

### Annexure I to be submitted by listed entity on quarterly basis

#### I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory										Textual Information(1)														
Whether the listed entity has a Regular Chairperson										false														
Whether Chairperson is related to MD or CEO										Disqualification of Directors under section 164 of the Companies Act, 2013														
Sr no.	Title(Mr/Ms)	Name of the Director	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director(in months)	No of Directorship in listed entities including this listed entity(Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity(Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Reason for Cessation	Notes for not providing PAN	Notes for not providing DIN
1	Mr	Devansh Gupta	06920376	Executive Director	Not Applicable	MD	false				Active	NA		09-02-2021	01-04-2025			1	0	0	0			
2	Mr	Dhruv Gupta	06920431	Executive Director	Not Applicable		false				Active	NA		09-02-2021				1	0	0	0			
3	Mr	Gyaneshwar Sahai	00657315	Non-Executive - Independent Director	Not Applicable		false				Active	NA		30-07-2022			41	2	2	3	2			
4	Mr	Himanjal Jagdishchandra Brahmabhatt	00049679	Non-Executive - Non Independent Director	Not Applicable		false				Active	NA		30-07-2022				1	0	1	0			
5	Mr	Amit Gupta	07085538	Non-Executive - Independent Director	Not Applicable		false				Active	NA		09-02-2021			59	1	1	2	1			
6	Ms	Shubhangi Agarwal	08135535	Non-Executive - Independent Director	Not Applicable		false				Active	NA		30-07-2022			41	4	4	8	1			

**Text Block**

Textual Information(1)

There is no fixed Chairperson of the Board. Chairperson is elected in each meeting of the Board of Directors. Mr. Dhruv Gupta (DIN: 06920431) was appointed as a Non-Executive Non-Independent Director on February 9, 2021. With effect from June 1, 2025 the Company has re-designated Mr. Dhruv Gupta as an Executive Director.

## Annexure I

### II. Composition of Committees

Disclosure of notes on composition of committees explanatory

### Audit Committee Details

Whether the Audit Committee has a Regular Chairperson						true	
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	07085538	Amit Gupta	Non-Executive - Independent Director	Chairperson	22-03-2021		
2	00657315	Gyaneshwar Sahai	Non-Executive - Independent Director	Member	30-07-2022		
3	00049679	Himanjal Jagdishchandra Brahmbhatt	Non-Executive - Non Independent Director	Member	30-07-2022		

### Nomination and remuneration committee

Whether the Nomination and remuneration committee has a Regular Chairperson						true	
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	07085538	Amit Gupta	Non-Executive - Independent Director	Chairperson	22-03-2021		
2	08135535	Shubhangi Agarwal	Non-Executive - Independent Director	Member	30-07-2022		
3	00657315	Gyaneshwar Sahai	Non-Executive - Independent Director	Member	30-07-2022		

### Stakeholders Relationship Committee

Whether the Stakeholders Relationship Committee has a Regular Chairperson						true	
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00657315	Gyaneshwar Sahai	Non-Executive - Independent Director	Chairperson	30-07-2022		
2	07085538	Amit Gupta	Non-Executive - Independent Director	Member	22-03-2021		
3	08135535	Shubhangi Agarwal	Non-Executive - Independent Director	Member	30-07-2022		

## Risk Management Committee

Corporate Social Responsibility Committee

## Other Committee

### Annexure I

III. Meeting of Board of Directors							
Disclosure of notes on meeting of board of directors explanatory							
Sr	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present*(All directors including Independent Director)	No. of Independent Directors attending the meeting*
1	03-07-2025			true	6	6	3
2	14-08-2025	41		true	6	6	3
3	20-08-2025	5		true	6	6	3
4	14-11-2025	85		true	6	6	3
5	23-12-2025	38		true	6	6	3

**Annexure I**

**IV. Meeting of Committees**

Disclosure of notes on meeting of committees explanatory										
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reson for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
1	Audit Committee	03-07-2025				true	3	3	2	0
2	Audit Committee	14-08-2025	41			true	3	3	2	0
3	Audit Committee	14-11-2025	91			true	3	3	2	0
4	Stakeholders Relationship Committee	19-08-2025				true	3	3	3	0
5	Stakeholders Relationship Committee	14-11-2025	86			true	3	3	3	0
6	Nomination and remuneration committee	14-11-2025				true	3	3	3	0

## Annexure I

Sr	Subject	V. Affirmations	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	true	
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	true	
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	true	
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	true	
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable the top 1000 listed entities)	NA	
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	true	
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	true	
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	true	
9	Any comments/observations/advice of Board of Directors may be mentioned here:		

**Annexure I**

Sr	Subject	Compliance status
1	Name of signatory	Krishna Kumar Yadav
2	Designation	Company Secretary and Compliance Officer

### Details of Cyber security incidence

Whether as per Regulation 27(2)(ba) of SEBI (LODR) Regulations, 2015 there has been cyber security incidents or breaches or loss of data or documents during the quarter	false	
Other details of cyber security incidence or breaches or loss of data event		
Number of cyber security incidence or breaches or loss of data event occurred during the quarter		
Sr.	Date of the event	Brief details of the event
No records available		

### Signatory Details

Name of signatory	Krishna Kumar Yadav
Designation of person	Company Secretary and Compliance Officer
Place	New Delhi
Date	22-01-2026

#### Investor Grievance Details

No. of investor complaints pending at the beginning of Quarter	0
No. of investor complaints received during the Quarter	1
No. of investor complaints disposed off during the Quarter	0
No. of investor complaints those remaining unresolved at the end of the Quarter	1

**Disclosure of Acquisition of Shares or Voting Rights in Unlisted Companies- The details of acquisition of shares or voting rights in unlisted companies during the quarter in terms of sub-para 1 of para A of Part A of Schedule III are given below:**

Any Other Information for Disclosure of Acquisition of Shares or Voting Rights in Unlisted Companies					
Sr.No.	Name of the unlisted company in which shares or voting rights have been acquired	Date of acquisition	Aggregate holding (% shares or voting rights) as at the end of the previous quarter	% shares or voting rights acquired during the quarter	Aggregate holding (% shares or voting rights) as at the end of the quarter
No records available					

**Disclosure of Imposition of Fine or Penalty The details of imposition of fine or penalty during the quarter in terms of sub-para 20 of para A of Part A of Schedule III are given below:**

Any Other Information for Disclosure of Imposition of Fine or Penalty					
Sr.No.	Name of the authority	Nature and details of the action(s) taken or order(s) passed	Date of receipt of direction or order, including any ad interim or interim orders, or any other communication from the authority	Details of the violation(s)/ contravention(s) committed or alleged to be committed	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible
1	NSE Clearing Limited	Penalty of Rs. 62,517.62	12-11-2025	Penalty on F&O Short Reporting and CMSHRTPL	No financial impact only monetary penalty of Rs. 62,517.62
2	NSE Clearing Limited	Penalty of Rs. 18,537.98	09-12-2025	Penalty on F&O Short Reporting and CMSHRTPL	No financial impact only monetary penalty of Rs. 18,537.98
3	NSE Clearing Limited	Penalty of Rs. 880.10	21-11-2025	Penalty on SLB Margin Shortage	No financial impact only monetary penalty of Rs. 880.10
4	NSE Clearing Limited	Penalty of Rs. 1,211.75	11-12-2025	Penalty on SLB Margin Shortage	No financial impact only monetary penalty of Rs. 1,211.75
5	NSE Clearing Limited	Penalty of Rs. 33,976.81	21-11-2025	Penalty for bad delivery/short delivery/non-allocation/non conformation of CP/OTR RADES	No financial impact only monetary penalty of Rs. 33,976.81
6	NSE Clearing Limited	Penalty of Rs. 2,765.82	17-12-2025	Penalty for bad delivery/short delivery	No financial impact only monetary penalty of Rs. 2,765.82
7	BSE	Penalty of Rs. 300	04-11-2025	Penalty for Charges of Location code mismatch	No financial impact only monetary penalty of Rs. 300
8	MCXCL	Penalty of Rs. 0.92	11-11-2025	Penalty for Short Non Collection of Margin	No financial impact only monetary penalty of Rs. 0.92
9	MCXCL	Penalty of Rs. 1,466.96	17-11-2025	Penalty for Short Non Collection of Margin	No financial impact only monetary penalty of Rs. 1,466.96
10	MCXCL	Penalty of Rs. 182.50	18-11-2025	Penalty for Short Non Collection of Margin	No financial impact only monetary penalty of Rs. 182.50
11	MCXCL	Penalty of Rs. 0.63	19-11-2025	Penalty for Short Non Collection of Margin	No financial impact only monetary penalty of Rs. 0.63
12	MCXCL	Penalty of Rs. 54.38	24-11-2025	Penalty for Short Non Collection of Margin	No financial impact only monetary penalty of Rs. 54.38
13	MCXCL	Penalty of Rs. 32.25	25-11-2025	Penalty for Short Non Collection of Margin	No financial impact only monetary penalty of Rs. 32.25
14	MCXCL	Penalty of Rs. 105.75	01-12-2025	Penalty for Short Non Collection of Margin	No financial impact only monetary penalty of Rs. 105.75
15	MCXCL	Penalty of Rs. 2.25	03-12-2025	Penalty for Short Non Collection of Margin	No financial impact only monetary penalty of Rs. 2.25
16	MCXCL	Penalty of Rs. 6.65	09-12-2025	Penalty for Short Non Collection of Margin	No financial impact only monetary penalty of Rs. 6.65
17	MCXCL	Penalty of Rs. 7.10	30-12-2025	Penalty for Short Non Collection of Margin	No financial impact only monetary penalty of Rs. 7.10

**Disclosure of Updates to Ongoing Tax Litigations or Disputes** The updates on tax litigations or disputes in terms of sub-para 8 of para B of Part A of Schedule III read with corresponding provisions of Annexure 18 of the Master Circular are given below:

Any Other Information for Disclosure of Updates to Ongoing Tax Litigations or Disputes				
Sr.No.	Name of the opposing party	Date of initiation of the litigation / dispute	Status of the litigation / dispute as per last disclosure	Current status of the litigation / dispute
No records available				